

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEB 27 2003

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$)

0.00

*Complete if Known*

Application Number

09/675,982

Filing Date

September 29, 2000

First Named Inventor

Seth Bradley Noble MAR 03 2003

Examiner Name

Unassigned

Group/Art Unit

2151

Attorney Docket No.

4098P001

**RECEIVED**

Technology Center 2100

**METHOD OF PAYMENT** (check one)
 Check  Credit card  Money Order  Other  None  
 Deposit Account
Deposit Account Number 02-2666Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account
**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Description		Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.
2053	130	2053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	410	2252	205	Extension for reply within second month
1253	930	2253	465	Extension for reply within third month
1254	1,450	2254	725	Extension for reply within fourth month
1255	1,970	2255	985	Extension for reply within fifth month
1404	320	2401	160	Notice of Appeal
1402	320	2402	160	Filing a brief in support of an appeal
1403	280	2403	140	Request for oral hearing
1451	1,510	2451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,300	2453	650	Petition to revive - unintentional
1501	1,300	2501	650	Utility issue fee (or reissue)
1502	470	2502	235	Design issue fee
1503	630	2503	315	Plant issue fee
1460	130	2460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	750	1809	375	Filing a submission after final rejection (37 CFR § 1.129(a))
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))
1801	750	2801	375	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application
Submission of Supplemental Declaration				0.00

**SUBTOTAL (1)**

(\$)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	20**	= <span style="border: 1px solid black; padding: 2px;"> </span> X <span style="border: 1px solid black; padding: 2px;"> </span> = <span style="border: 1px solid black; padding: 2px;"> </span>	
Multiple Dependent	3	= <span style="border: 1px solid black; padding: 2px;"> </span> X <span style="border: 1px solid black; padding: 2px;"> </span> = <span style="border: 1px solid black; padding: 2px;"> </span>	

Multiple Dependent

Large Entity	Small Entity	Fee Description		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple Dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$)

\*\*or number previously paid, if greater. For Reissues, see below

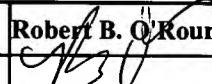
\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$)

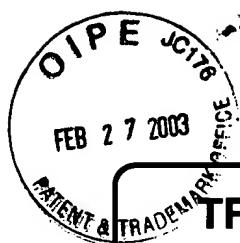
0.00

**SUBMITTED BY***Complete (if applicable)*

Name (Print/Type)	<b>Robert B. O'Rourke</b>	Registration No. (Attorney/Agent)	<b>46,972</b>	Telephone	<b>(408) 720-8300</b>
Signature				Date	<b>2/21/03</b>

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

2151



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	09/675,982
Filing Date	September 29, 2000
First Named Inventor	Seth Bradley Noble
Group Art Unit	2151
Examiner Name	Unassigned
Total Number of Pages in This Submission	8
Attorney Docket Number	4098P001

RECEIVED

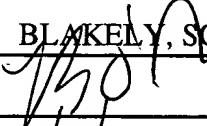
MAR 03 2003

Technology Center 2100

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
		<div style="border: 1px solid black; padding: 5px;">           Submission of Supplemental Declaration (1) page.            Supplemental Declaration (3) pages.            Return Postcard         </div>
Remarks		

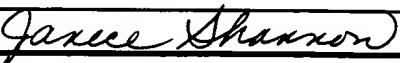
### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Robert B. O'Rourke, Reg. No. 46,972  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	2/21/03

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

February 21, 2003

Typed or printed name	Janece Shannon	2-21-2003
Signature		Date
		February 21, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Attorney's Docket No.: 04098.P001

#7  
LBJ  
3-6-03

Patent

RECEIVED

MAR 03 2003

Technology Center 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Seth Bradley Noble ) Examiner: Unassigned  
Application No.: 09/675,982 ) Art Unit: 2151  
Filed: September 29, 2000 )  
For: Method and Apparatus for Client Side )  
State Management )

Assistant Commissioner for Patents  
Washington, D.C. 20231

SUBMISSION OF SUPPLEMENTAL DECLARATION  
PURSUANT TO 37 C.F.R. § 1.67(b)

Sir:

Enclosed herewith for filing in the above-referenced patent application is a  
Supplemental Declaration submitted pursuant to 37 C.F.R. § 1.67(b).

If any fee is required, please charge Deposit Account No. 02-2666.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 2/21/03

  
Robert B. O'Rourke  
Reg. No. 46,972

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8598

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on February 21, 2003

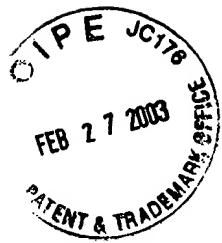
Janece Shannon

Date of Deposit

Name of Person Mailing Correspondence

Janece Shannon

2-21-2003



RECEIVED

MAR 03 2003

#7

Technology Center 2100

Attorney's Docket No.: 04098.P001

PATENT

SUPPLEMENTAL DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below, next to my name.

I believe that I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled

**METHOD AND APPARATUS FOR CLIENT SIDE STATE MANAGEMENT**

the specification of which was filed on September 29, 2000 as United States Application No. 09/675,982

or PCT International Application No. \_\_\_\_\_

I hereby declare that the subject matter

that is claimed and for which a patent is sought on the invention in the patent application as filed,

of the claim(s) as allowed,

of the attached amendment(s),

of the amendment(s) filed on or about December 20, 2002  
in the above-identified application,

of the amendment(s) filed on or about \_\_\_\_\_,  
in Application No. \_\_\_\_\_ filed \_\_\_\_\_, which is a  
    continuation  
    divisional  
of Application No. \_\_\_\_\_ filed \_\_\_\_\_,

was part of my or our invention and was invented before the filing date of the original application, above-identified for such invention.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. Section 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. Sections 119(a)-(d) or 365(b) of any foreign applications for patent or inventor's certificate, or 365(a) of any PCT international application that designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or any PCT international application, having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
Number	Country	Day/Month/Year Filed	Yes	No

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional applications listed below:

<u>60/157221</u>	<u>September 30, 1999</u>
Application Number	Filing Date

I hereby claim the benefit under 35 U.S.C. Section 120 of any United States applications, or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>(U.S. Parent Application or PCT Parent No.)</u>	<u>Parent Filing Date</u>	<u>(Status – patented, pending, abandoned)</u>	<u>Parent Patent No. (if applicable)</u>
<u>(U.S. Parent Application or PCT Parent No.)</u>	<u>Parent Filing Date</u>	<u>(Status – patented, pending, abandoned)</u>	<u>Parent Patent No. (if applicable)</u>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. Section 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Seth Bradley Noble

Inventor's Signature  Date 2/14/03

Residence Norman, Oklahoma Citizenship U.S.A.  
(City, State) (Country)

Post Office Address 3804 Glisten Court  
Norman, Oklahoma 73072